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1        **Aldehyde dehydrogenase 3A1 promotes multi-modality resistance and alters**  
2                    **gene expression profile in human breast adenocarcinoma MCF-7 cells**

3

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25

26 **Abstract**

27 Aldehyde dehydrogenases participate in a variety of cellular homeostatic mechanisms  
28 like metabolism, proliferation, differentiation, apoptosis, whereas recently, they have  
29 been implicated in normal and cancer cell stemness. We explored roles for ALDH3A1  
30 in conferring resistance to chemotherapeutics/radiation/oxidative stress and whether  
31 ectopic overexpression of ALDH3A1 could lead to alterations of gene expression  
32 profile associated with cancer stem cell-like phenotype. MCF-7 cells were stably  
33 transfected either with an empty vector (mock) or human aldehyde dehydrogenase  
34 3A1 cDNA. The expression of aldehyde dehydrogenase 3A1 in MCF-7 cells was  
35 associated with altered cell proliferation rate and enhanced cell resistance against  
36 various chemotherapeutic drugs (4-hydroxyperoxycyclophosphamide, doxorubicin,  
37 etoposide, and 5-fluorouracil). Aldehyde dehydrogenase 3A1 expression also led to  
38 increased tolerance of MCF-7 cells to gamma radiation and hydrogen peroxide-  
39 induced stress. Furthermore, aldehyde dehydrogenase 3A1-expressing MCF-7 cells  
40 exhibited gene up-regulation of cyclins A, B1, B2, and down-regulation of cyclin D1  
41 as well as transcription factors p21, CXR4, Notch1, SOX2, SOX4, OCT4, and JAG1.  
42 When compared to mock cells, no changes were observed in mRNA levels of ABCA2  
43 and ABCB1 protein pumps with only a minor decrease of the ABCG2 pump in the  
44 aldehyde dehydrogenase 3A1-expressing cells. Also, the adhesion molecules EpCAM  
45 and CD49F were also found to be up-regulated in aldehyde dehydrogenase  
46 3A1-expressing cells. Taken together, ALDH3A1 confers a multi-modality resistance  
47 phenotype in MCF-7 cells associated with slower growth rate, increased clonogenic  
48 capacity, and altered gene expression profile, underlining its significance in cell  
49 homeostasis.

50

51 **Keywords**

52 ALDH, ALDH3A1, MCF-7, cancer stem cells, oxidative stress, CD49F, EpCAM,  
53 breast cancer, chemoresistance.

54

55 **1. Introduction**

56 Aldehyde dehydrogenase 3A1 (ALDH3A1) belongs to the broad family of aldehyde  
57 dehydrogenases (ALDHs). It is an NADP<sup>(+)</sup>-dependent enzyme, responsible for  
58 oxidizing medium chain saturated and unsaturated aldehydes to their corresponding  
59 carboxylic acids (Kim et al., 2014, Vasiliou et al., 2004, Vasiliou et al., 2000).  
60 Because of its ability to detoxify toxic aldehydes, by-products of lipid peroxidation  
61 like 4-hydroxy-2-nonenal (4-HNE), ALDH3A1 is considered an important component  
62 of cellular anti-oxidant defense (Black et al., 2012, Jang et al., 2014, Pappa et al.,  
63 2003a, Pappa et al., 2003b, Voulgaridou et al., 2011). Apart from its essential  
64 metabolic function, it has been suggested that ALDH3A1 may have additional roles in  
65 cellular homeostasis (Kim, Lee, 2014, Voulgaridou et al., 2013) including those of  
66 cell cycle regulation and protection against apoptosis and DNA damage (Chen et al.,  
67 2013, Estey et al., 2007, Jang, Bruse, 2014, Lassen et al., 2007, Pappa et al., 2005,  
68 Pappa et al., 2001, Stagos et al., 2010). However, ALDHs have gained even more  
69 attention, after their correlation with normal and cancer stem cell (CSC) populations  
70 (Gasparetto et al., 2012). In particular, the aldehyde dehydrogenase 1 (ALDH1)  
71 isoform was found to be critical for the isolation of cancer cells with stem-like  
72 features like self-renewal capacity, low proliferation rate, chemo-/radioresistance and  
73 enhanced clonogenic and tumorigenic potential (Calderaro et al., 2014, Croker and  
74 Allan, 2012, Deng et al., 2010, Lee et al., 2011, Sullivan et al., 2010, Yan et al.,  
75 2014). Moreover, increased expression of ALDH was also used as an index for the  
76 isolation of tumor cell subpopulations with stem-like characteristics in addition to  
77 being associated with poor clinical outcome (Lee, Kim, 2011, Sullivan, Spinola,  
78 2010). In this context, ALDH3A1 has been described as “tumor-associated aldehyde  
79 dehydrogenase” (T-ALDH) (Lin et al., 1988) and has been shown to be upregulated in

80 several cancer types (Parajuli et al., 2014, Patel et al., 2008). Finally, only recently, it  
81 has been postulated to possess additional functional roles in stem cell biology in  
82 respect to self-protection, differentiation and cellular expansion (Ma and Allan, 2011).  
83 However, there are not many studies suggesting how exactly the over-expression of  
84 ALDH is utilized as a CSC marker and in particular what might be the underlying  
85 mechanism(s) of such involvement. For these reasons, we established an isogenic  
86 MCF-7 cell line pair (differing only in the expression of human ALDH3A1) with the  
87 aim to (i) investigate into the effects of ALDH3A1 on cell viability and colony  
88 formation efficiency under various exogenous stresses, like chemotherapeutics,  
89 hydrogen peroxide (H<sub>2</sub>O<sub>2</sub>) and gamma-irradiation) and (ii) to identify specific gene  
90 profiles attributed to such acquired CSC-like traits.

91

## 92 **2. Materials and Methods**

### 93 **2.1 Materials**

94 Human breast adenocarcinoma cell line MCF-7 was purchased from ATCC  
95 (Manassas, VA, USA). All of the standard culture media, fetal bovine serum (FBS),  
96 antibiotics and trypsin were either from Gibco (Life Technologies, Carlsbad, CA,  
97 USA), Biosera (East Sussex, UK), Biochrome (Berlin, Germany) or Sigma-Aldrich  
98 Co. (Taufkirchen, Germany). Lipofectamine and related transfection reagents were  
99 obtained from Life Technologies (Carlsbad, CA, USA) while hygromycin and  
100 protease inhibitors were from Carl Roth GmbH (Karlsruhe, Germany). Polyvinylidene  
101 difluoride (PVDF) membranes were purchased from Millipore (Bedford, MA, USA)  
102 and chemiluminescence reagents and BCA Protein assay kit were from Thermo  
103 Scientific (Rockford, IL, USA). Autoradiography films were obtained from Genesee  
104 Scientific (San Diego, CA, USA). All chemotherapeutic agents were from Sigma-



105 Aldrich Co. (Taufkirchen, Germany) except 4-hydroxyperoxycyclophosphamide  
106 which was obtained from SantaCruz (Santa Cruz, California). Primers, dNTPs, Trizol  
107 and Platinum SYBR Green, were purchased from Invitrogen (Life Technologies  
108 Carlsbad, CA, USA) while random hexamers and PrimeScript Reverse Transcriptase  
109 were from Takara (Shiga, Japan). Rabbit polyclonal antibody against human  
110 ALDH3A1 was obtained from Abgent (San Diego, CA, USA). Mouse monoclonal  
111 antibody against EpCAM was from Cell Signalling Technology (Danvers, MA,  
112 USA). Goat anti-rabbit and mouse IgG horseradish peroxidase conjugated antibodies  
113 were obtained from Millipore (Bedford, MA, USA). CF488A goat anti-mouse IgG for  
114 immunofluorescence was from Biotium (Hayward, CA, USA). Unless stated  
115 otherwise, all other chemicals were purchased from Sigma-Aldrich Co. (Taufkirchen,  
116 Germany), Carl Roth GmbH (Karlsruhe, Germany) or Applichem (Darmstadt,  
117 Germany).

118

## 119 **2.2 Cell Culture**

120 Human breast cancer cell line MCF-7 was maintained in Dulbecco's modified Eagle's  
121 medium (DMEM) supplemented with 10% FBS, 100µg/ml streptomycin, and  
122 100units/ml penicillin. MCF-7 stable transfected cell lines were cultured in the same  
123 medium in the presence of 0.2mg/ml hygromycin. Cells were cultivated at 37°C with  
124 5% CO<sub>2</sub> in a humidified incubator.

125

## 126 **2.3 Stable Transfection**

127 The full-length human ALDH3A1 was subcloned into a suitable mammalian  
128 expression vector constructed as previously described (Bunting and Townsend,  
129 1996a,b, Pappa, Chen, 2003a). MCF-7 cells ( $10^6$ ) were transfected with 16  $\mu$ g  
130 ALDH3A1/vector or control vector using the Lipofectamine 2000 reagent. Stably  
131 transfected cells were selected in the presence of 0.2mg/ml of hygromycin in the  
132 culture medium 48h post transfection. Selected clones were isolated, expanded and  
133 maintained in the presence of hygromycin.

134

#### 135 **2.4 Immunoblot analysis**

136 Cell lysates were prepared in 50mM Tris-HCl, pH 8.0 containing NaCl, 1% Nonidet  
137 P<sub>40</sub>, and the protease inhibitors: 100 $\mu$ g/ml PMSF, 0.5 $\mu$ g/ml leupeptin, 0.5 $\mu$ g/ml  
138 aprotinin and 1 $\mu$ g/ml pepstatin A. Protein concentration was determined by the BCA  
139 assay. Cell lysates (30 $\mu$ g of total protein) were separated by SDS-PAGE  
140 electrophoresis and transferred to 0.2  $\mu$ M PVDF membranes. The blots were blocked  
141 with 5% (w/v) BSA in TBST buffer (100mM Tris, pH 7.5, containing 150mM NaCl,  
142 and 0.1% v/v Tween-20) (blocking buffer) for 2 hours. Primary antibodies were used  
143 at different dilutions as follows: Polyclonal anti-ALDH3A1 and monoclonal anti-  
144 EpCAM were used at dilutions of 1:500 and 1:5000 in blocking buffer respectively  
145 (overnight, 4°C). Secondary horseradish peroxidase conjugated goat anti-rabbit and  
146 mouse antibodies were used at a dilution of 1:5000 in blocking buffer (1-hour  
147 incubation, RT). Signals were detected using the Supersignal West Pico  
148 Chemiluminescent Substrate.

149

## 150 **2.5 Aldehyde dehydrogenase enzymatic activity assay**

151 The enzymatic activity of ALDH3A1 was estimated as described previously (Pappa,  
152 Estey, 2003b). Briefly, a mixture of 75mM Na-pyrophosphate, pH 8.0 containing  
153 1mM pyrazole, 2.5mM NADP<sup>+</sup> and 50µl of cell lysates was prepared and used as a  
154 blank. The reaction was initiated by the addition of 0.5mM benzaldehyde. NADPH  
155 production was monitored for 5 min by the increase in the absorbance at 340nm with  
156 a Biochrom Libra S22 UV/visible spectrophotometer (Biochrom, Cambridge, UK).  
157 Finally, ALDH3A1 enzymatic activity was expressed as nanomoles of NADPH  
158 produced per minute, per mg of protein by taking into consideration the molar  
159 extinction coefficient of NADPH (6.22mM<sup>-1</sup>/cm<sup>-1</sup>).

160

## 161 **2.6 Colony Formation Assay**

162 Approximately 600 cells were plated in 10-cm culture dishes and subjected to various  
163 doses (0 to 10 Gray) of gamma radiation (Cobalt 60). Subsequently, cells were placed  
164 in a humidified incubator (37°C, 5% CO<sub>2</sub>) and were monitored on a daily basis up to  
165 the formation of visible colonies (usually two weeks later). Cells were then fixed and  
166 stained with 0.5% of crystal violet solution diluted in 25% methanol. Colonies  
167 containing ≥50 of cells were counted using a stereomicroscope and digital images  
168 were obtained by camera or scanner and counted using ImageJ software.

169

## 170 **2.7 Sulforhodamine B (SRB) assay**

171 SRB assay was conducted as described earlier (Lassen et al. , 2006). Briefly, MCF-  
172 7/mock and MCF-7/ALDH3A1 cells were seeded in 96-well culture plates and then

173 were treated, in triplicates, with 4-hydroxyperoxycyclophosphamide, etoposide,  
174 doxorubicin, 5-fluorouracil, and H<sub>2</sub>O<sub>2</sub>. All chemotherapeutic agents were initially  
175 prepared in DMSO (or water in the case 4-hydroxyperoxycyclophosphamide) (as  
176 stock solutions of 50mM) and subsequently diluted (in cell culture medium) into  
177 various working concentrations: 4-hydroxyperoxycyclophosphamide (0-1600μM),  
178 etoposide (0-500μM), doxorubicin (0-1000μM), 5-fluorouracil (0-175μM). The  
179 working concentrations of H<sub>2</sub>O<sub>2</sub> were 0-1000μM, and water was used as a vehicle.  
180 After a72-h incubation, cells were fixed with 50% (w/v) trichloroacetic acid (TCA)  
181 for 1h at 4°C, washed 5 times with water and stained with 0.4% (w/v) SRB diluted in  
182 1% acetic acid for 30 min. The excess dye was removed by washing with 1% (v/v)  
183 acetic acid. Plates were dried overnight, and the protein-bound dye was dissolved in  
184 10mM Tris base solution. Optical density was determined at 492nm by using a  
185 microplate reader (Tecan Xflour 4). Controls were vehicle-treated cells. Sigma Plot  
186 software (version 10) was used for estimating the EC<sub>50</sub> values through the regression  
187 analysis via the four-parameter logistic curve as previously described (Anestopoulos  
188 et al., 2013).

189

## 190 **2.8 Real-time PCR**

191 Total RNA was extracted using Trizol reagent according to the manufacturer's  
192 instructions. For cDNA synthesis, 4.5μg of total RNA with 1 mM dNTPs and 50pmol  
193 of random hexamers were used. For real-time PCR analysis, Platinum SYBR Green  
194 was used according to the manufacturer's instructions. Reactions were carried out on  
195 an Applied Biosystems Step One Instrument. The sequences of the primers are  
196 provided in Table 1. Reactions were run in triplicate in three independent

197 experiments. Expression data were normalized to beta-actin using the  $2^{-\Delta\Delta CT}$  method  
198 described by Livak and Schmittgen, 2001.

199

## 200 **2.9 Immunofluorescence**

201 Cells ( $1.5 \times 10^5$ ) grown in a monolayer on the surface of coverslips were fixed 24-h  
202 post plating with 4% formaldehyde in phosphate-buffered saline (PBS) (for 20 min)  
203 and washed three times with PBS. Formaldehyde was neutralized by the addition of  
204 1M of Glycine (pH 8.5). Cells were permeabilized with 0.1% Triton X-100 followed  
205 by blocking with 5% BSA in PBS. The primary anti-EpCAM antibody was used at a  
206 dilution of 1:800 (1h, RT) whereas the secondary (CF488A goat anti-mouse) was  
207 used at a dilution of 1:250, in PBS, for 30 min. Nuclei were counterstained with 4'-6-  
208 diamidino-2-phenylindole (DAPI) (1  $\mu\text{g}/\text{ml}$ ) and washed three times with PBS.  
209 Finally, cells were mounted with MOWIOL (Calbiochem, Bad Soden, Germany) and  
210 imaged with a 60x/NA 1.45 oil immersion objective and an Andor Ixon+885 digital  
211 camera on a customized Andor Revolution Spinning Disk Confocal System built  
212 around an IX81; Olympus stand (CIBIT Facility, MBG-DUTH). Andor IQ 2.7.1  
213 software was used for image acquisition and analysis.

214

## 215 **2.10 Statistical analysis**

216 At least three independent experiments were conducted per sample for each  
217 condition tested. All values were expressed as mean  $\pm$  S.E. Comparison of results  
218 between two groups was performed by Student's t-test. Differences between

219 individual groups were assessed by a Dunnett post hoc test. Prism software (version  
220 5) was used for all statistical analyses. A value of  $p < 0.05$  was considered significant.

221

### 222 **3. Results**

#### 223 **3.1 Generation and characterization of the MCF-7 isogenic cell line pair**

224 Stable transfection of the human ALDH3A1 cDNA in MCF-7 cells resulted in the  
225 selection of two ALDH3A1/MCF-7 clones (Figure 1). Clone #2 with the highest  
226 ALDH3A1 expression levels (confirmed by western blot analysis; Figure 1A) was  
227 chosen for all subsequent experiments and thus designated as ALDH3A1/MCF-7.  
228 Furthermore, expression of ALDH3A1 was also confirmed by real-time PCR ( $>100$ -  
229 fold in mRNA levels compared to mock/ALDH3A1 cells; Figure 1B). Enzymatic  
230 activity, in ALDH3A1/MCF-7 cells, was estimated to be  $535 \pm 16$  units/min/mg  
231 whereas Mock/ALDH3A1 cells exhibited negligible activity (Figure 1C). Regular  
232 monitoring of the enzymatic activity confirmed the maintenance of stable ALDH3A1  
233 expression. Finally, it was observed that ALDH3A1/MCF-7 cells had considerably  
234 slower cycling capacity when compared to mock ones and estimated that their colony  
235 formation efficiency was approximately 57% of that of control cells (Figure 1D).

236

#### 237 **3.1 Expression of ALDH3A1 confers chemoresistance to MCF-7 cells**

238 Next, we sought to determine the response of this isogenic cell line pair to various  
239 chemotherapeutic agents characterized by different modes of actions. Mock/ and  
240 ALDH3A1/MCF-7 cells were incubated for 72 h with increasing concentrations of 4-  
241 hydroxyperoxycyclophosphamide (an active derivative of cyclophosphamide),  
242 doxorubicin, etoposide, 5-fluorouracil and SRB-based cell viability curves were

243 plotted (Figures 2A-D respectively). Our data demonstrate that ALDH3A1 was  
244 associated with a chemoresistant phenotype as indicated by the cell viability curves in  
245 ALDH3A1-expressing cells compared to the non-expressing (mock) cells, under all  
246 treatments. ALDH3A1/MCF-7 cells exhibited approximately 2-fold resistance to 4-  
247 hydroxyperoxycyclophosphamide, (Figure 1A), ~11-fold resistance to doxorubicin  
248 (Figure 2B), 8-fold resistance to etoposide (Figure 2C), and 2-fold resistance to 5-  
249 fluorouracil (Figure 2D) when compared to mock cells.

250

### 251 **3.2 Expression of ALDH3A1 confers resistance to radiation- and H<sub>2</sub>O<sub>2</sub>-induced** 252 **cytotoxicity**

253 Next, we investigated on the response of the isogenic cell line pair to other cytotoxic  
254 agents like H<sub>2</sub>O<sub>2</sub> and gamma radiation. ALDH3A1 expression was associated with  
255 increased tolerance to H<sub>2</sub>O<sub>2</sub>-induced cytotoxicity (Figure 3A). Interestingly, following  
256 72 h incubation with a range of H<sub>2</sub>O<sub>2</sub> concentrations (up to 1mM) viability in  
257 ALDH3A1/MCF-7 cells did not fall below 60% when compared to control (untreated)  
258 cells. On the contrary, mock/MCF-7 cells sustained roughly 10% viability under the  
259 same experimental conditions (Figure 3A). Although the average EC<sub>50</sub> value for mock  
260 cells was estimated around 92μM, we were unable to calculate an accurate EC<sub>50</sub> value  
261 for ALDH3A1/MCF-7 cells in the same range of H<sub>2</sub>O<sub>2</sub> concentrations (Figure 3A).  
262 Data from colony formation collected up to two weeks post-irradiation with a range of  
263 gamma irradiation (e.g. up to 10 Gy) revealed that ALDH3A1 contributed  
264 significantly to the maintenance of colony formation under radiation stress (Figure  
265 3B).

266

### 267 **3.3 ALDH3A1 alters gene expression profile in MCF-7 cells**

268 The resistant phenotype of ALDH3A1/MCF-7 cells together with the observation of  
269 being slow cycling cells led to the evaluation of whether ALDH3A1 expression  
270 caused any alterations in the genetic make-up of MCF-7 cells. Thus, we analyzed the  
271 expression profile of several cell cycle regulatory proteins together with proteins-  
272 pumps that modulate drug import/export processes in the cell. Because slow cycling  
273 and chemotherapy/radiation resistance have been described as common traits for  
274 cancer stem cells (Alison et al., 2011, Ghaffari, 2011), we investigated the gene  
275 expression levels of those potentially relevant cancer stem cell markers including  
276 CXCR4, Notch1, SOX2, Oct4, JAG1, EpCAM, and CD49f. qRT-PCR experiments  
277 showed that the gene expression levels of cell cycle regulatory proteins (e.g. cyclins  
278 A, B1, and B2) were up-regulated while cyclin D and p21 were down-regulated. No  
279 significant changes were observed for cyclin E and p53 (Figure 4A). We also  
280 examined the effects of ALDH3A1 on the expression of the ATP-binding cassette  
281 (ABC) transporters ABCA2, ABCB1 (P-glycoprotein 1 or Multidrug Resistant  
282 Protein 1) and ABCG2 (Breast Cancer Resistance Protein 1). ALDH3A1 expression  
283 did not affect the expression levels of ABCA2 and ABCB1, whereas a slight decrease  
284 was observed for ABCG2 (Figure 4B). Significant changes were observed for all  
285 cancer stem cell markers tested in a manner where CXCR4, Notch1, SOX2, Oct4, and  
286 JAG1 were significantly down-regulated whereas the epithelial cell adhesion  
287 molecules EpCAM and CD49f (integrin subunit alpha 6) were up-regulated in  
288 ALDH3A1/MCF-7 cells (Figure 4C). To further validate the RT-PCR results, we  
289 selected the epithelial adhesion molecule EpCAM to confirm its up-regulation by both  
290 immunofluorescence and immunoblotting. Indeed, Figure 4D depicts enhanced



291 immunofluorescent localization of EpCAM in the ALDH3A1/MCF-7 cells while  
292 Western blotting also confirmed previous findings (Figure 4E).

293

#### 294 **4. Discussion**

295 ALDHs represent a family of proteins implicated in cellular homeostasis in addition  
296 to their metabolic role (Pappa, Estey, 2003b). Indeed, a variety of ALDH isoforms are  
297 referred to as (i) corneal/lens crystallins (structural and protective components of  
298 cornea/lens) (Estey, Piatigorsky, 2007), (ii) cell protectors against ischemia-induced  
299 cardiac damage (Budás et al., 2010, Luo et al., 2014), (iii) modulators of cell  
300 proliferation rates (Lassen, Pappa, 2006, Liu et al., 2014, Pappa, Brown, 2005, Pappa,  
301 Chen, 2003a, Zhang et al., 2014) and (iv) mediators of differentiation in normal and  
302 cancer cells asserting to be markers of cell “stemness” (Balber, 2011, Dolle et al.,  
303 2015). In particular, correlation of ALDHs with normal/cancer stem cells is not recent  
304 with reports dating back to 1980s describing an association between leukemic cells  
305 overexpressing ALDHs and resistance to cyclophosphamide (Russo and Hilton, 1988,  
306 Tsukamoto et al., 1998). At the time and while studies were focused on the enzymatic  
307 activity specificities of ALDHs (capable of detoxifying cyclophosphamide), it was  
308 soon discovered that ALDHs expression was also a characteristic of healthy  
309 progenitor hematopoietic cells but was gradually lost during the maturation process to  
310 lymphocytes (Kastan et al., 1990). Since then, ALDHs (alone or in combination with  
311 other known markers) were considered a valuable marker for isolating hematopoietic  
312 progenitor populations (Armstrong et al., 2004, Fallon et al., 2003, Hess et al., 2004,  
313 Storms et al., 1999). Furthermore, their usage as a putative stem cell marker was also  
314 extended to the neuronal system (Balber, 2011, Cai et al., 2004, Corti et al., 2006a,  
315 Corti et al., 2006b). Less than a decade ago, ALDHs were studied more extensively

316 and thus were proposed as CSC markers, initially in leukemias and later in cases of  
317 solid tumours (Cheung et al., 2007, Pearce et al., 2005). Until now, ALDHs utilization  
318 as CSC markers have been investigated in a broad range of different cancers and in  
319 most cases, ALDHs expression was found to be a promising marker for the  
320 discrimination of sub-populations with stem-like characteristics (Chen et al., 2010,  
321 Deng, Yang, 2010, Emmink et al., 2011, Gong et al., 2010, Liang and Shi, 2012,  
322 Marcato et al., 2011, Shien et al., 2012, Sullivan, Spinola, 2010, Wang et al., 2012).  
323 On the other hand, there is still a long way to identifying specific ALDHs isoforms  
324 responsible for different types of cancer in addition to determining variable potential  
325 cancerous stem cell sub-population properties and qualities. Thus, elucidating the  
326 underlying mechanisms of ALDHs over-expression in CSCs is of crucial importance  
327 in tumor biology.

328 On another note, ALDH3A1 exhibits a distinct expression pattern. It is inducible by  
329 xenobiotics in the liver and constitutively expressed in certain epithelial tissues like  
330 lung, stomach, skin, and cornea. In the latter, its constitutive expression can reach up  
331 to 40% of the water-soluble proteins thus classifying ALDH3A1 as a corneal  
332 crystallin (Estey et al., 2010, Lassen, Bateman, 2007, Reisdorph and Lindahl, 2007).  
333 In fact, ALDH3A1 is a characteristic example of the multi-functional nature of the  
334 ALDH family as its expression has been associated with an apparent cell survival  
335 advantage under various stress conditions thus implicating ALDH3A1 as being a  
336 significant element in major homeostatic mechanisms including cell regulation and  
337 apoptosis (Estey, Piatigorsky, 2007, Pappa, Chen, 2003a). To examine the putative  
338 role of ALDH3A1 in the development of CSCs properties, we established MCF-7  
339 cells are over-expressing ALDH3A1 and studied its impact on stem cell-like  
340 properties. CSCs are relatively resistant to radiation as well as chemotherapeutic

341 agents like carboplatin, etoposide, fluorouracil, paclitaxel, daunorubicin,  
342 mitoxantrone, cyclophosphamide, temozolomide, and gemcitabine (Dylla et al., 2008,  
343 Hermann et al., 2007, Liu et al., 2006, Ma et al., 2008, Todaro et al., 2007, Wulf et al.,  
344 2001). Interestingly, our results indicated that ALDH3A1 protects MCF-7 cells from  
345 the cytotoxic effects of a wide variety of commonly used chemotherapeutic agents  
346 like 4-hydroxyperoxycyclophosphamide, etoposide, doxorubicin and 5-fluorouracil  
347 (Horak et al., 2013, Lekakis et al., 2012, Loi et al., 2013, Moitra et al., 2012). Indeed,  
348 previous studies have documented up-regulation of ALDHs with enhanced  
349 chemoresistance in breast cancer cells both in vitro and in vivo (Cioce et al., 2014,  
350 Croker and Allan, 2012, Lee, Kim, 2011). The results are in accordance with previous  
351 studies that have shown that overexpression of ALDH3A1 results in resistance to 4-  
352 hydroxyperoxycyclophosphamide and other active metabolites of cyclophosphamide  
353 [Bunting et al. J Biol Chem. 1994, 269: 23197-23203, Moreb et al., 2007).  
354 Interestingly, increased resistance to doxorubicin has also been associated with the  
355 ectopic expression of other ALDH members (Moreb et al., Chem. Biol. Interact.,  
356 2012, 195: 52-60), which is possibly mediated through indirect mechanisms by  
357 modulating oxidative stress response as previously reported for ALDH3A1 in  
358 relation to resistance to mitomycin C and etoposide (Pappa A et al., J. Biol. Chem.  
359 2005, 280: 27998–28006). Moreover, ALDH3A1/MCF-7 cells exhibited enhanced  
360 survival and colony formation capacities in the presence of additional stress factors  
361 like gamma radiation and exposure to H<sub>2</sub>O<sub>2</sub>. Certainly, the specificity of ALDH3A1  
362 for the metabolism and detoxification of cyclophosphamide (Bunting and Townsend,  
363 1996b) and 4-HNE (Pappa, Estey, 2003b) is an important contributing factor  
364 underlining resistance, but its ability to protect adequately against a variety of other  
365 stressors supports the notion for an overall, multi-mode resistance phenotype

366 characteristic of ALDH3A1/MCF-7 cells. One possible mechanism accountable for  
367 the apparent resistance of these cells would be their slow-growing rate. This is in  
368 accordance with another study where ALDH3A1 led to inhibition of proliferation,  
369 slower cell cycling rates, and lower colony formation efficiency expression in human  
370 corneal epithelial cells (Estey, Piatigorsky, 2007, Pappa, Brown, 2005). This anti-  
371 proliferative action of ALDH3A1 was also observed in our study where the  
372 ALDH3A1/MCF-7 cells had the capacity to form only about 57% of the colonies  
373 formed in mock/MCF-7 cells. In general, CSCs are slow-growing cells in the  
374 quiescent state and consequently resistant to drugs designed to target fast-growing  
375 cancer cells (Dalerba et al., 2007, Tirino et al., 2013, Vinogradov and Wei, 2012). To  
376 characterize the molecular mechanisms responsible for the slow proliferation rates  
377 observed, we analyzed the gene expression profile of key cell cycle regulatory  
378 proteins. We noticed that ALDH3A1-expressing MCF-7 cells exhibited an (i) up-  
379 regulation of cyclins A, B1, B2 and (ii) down-regulation of cyclin D1 and  
380 transcription factor p21. Previous studies demonstrated that protein levels of cyclins  
381 A, B, E, E2F1, and p21, as well activities of cyclin A- and cyclin B- dependent  
382 kinases were all decreased in ALDH3A1/HCE cells (Pappa, Brown, 2005). While it is  
383 true that the comparative qPCR method used in this study detects differences only at  
384 the transcriptional level, the differential expression pattern of major cell cycle  
385 regulatory proteins (also previously reported for ALDH3A1-expressing HCE cells)  
386 may account for the slow proliferation phenotype observed. On the other hand, there  
387 are also reports associating knock down of ALDH3A1 in lung cancer cells with slower  
388 growth (Moreb et al., 2008). To this end, findings so far appear contradictory, and  
389 although they may reflect tissue-specific issues or differences in biology between

390 normal and cancer cells, they urge the need for further investigations towards the  
391 clarification of the role of ALDH3A1 in cell proliferation.

392 The possibility that drug resistance displayed by the ALDH3A1-expressing cells is  
393 likely due to enhanced expression of transporters that mediate chemotherapeutic drug  
394 efflux (Gottesman et al., 2002, Ween et al., 2015) was excluded. In general, several  
395 types of ABC transporters are known to be over-expressed in a variety of cancers  
396 where they are responsible for the development of chemoresistance (Chang et al.,  
397 2009, Doyle and Ross, 2003, Gottesman, Fojo, 2002, Mack et al., 2008). However, no  
398 detectable changes were observed in mRNA levels of ABCA2 and ABCB1 protein  
399 pumps. On the contrary, only a minor decrease observed for the ABCG2 pump in the  
400 ALDH3A1-expressing cells compared to mock. Another possible mechanism for the  
401 observed chemo-/radioresistance, in the presence of ALDH3A1, would be through  
402 mediating DNA damage checkpoint response. Indeed, increased activation of the  
403 DNA damage checkpoint response has been associated with expression of ALDH3A1  
404 in corneal epithelial cells and preliminary data (obtained in our lab) certainly points  
405 towards this direction (data not shown). Similarly, the resistance of glioblastoma  
406 CSCs to irradiation has been attributed to increased activation of the DNA damage  
407 checkpoint (Bao et al., 2006).

408 To better characterize the changes caused by ALDH3A1 on gene expression, we  
409 investigated the presence of presumed protein markers found to be up-regulated in  
410 CSCs. The gene expression profile was significantly differentiated between the two  
411 MCF-7 isogenic cell lines. The mRNA levels of CXCR4, Notch1, SOX2, SOX4,  
412 OCT4, and JAG1, displayed down-regulation whereas EpCAM and CD49F were  
413 significantly up-regulated in the ALDH3A1/MCF-7 cells. We further validated the  
414 expression of the epithelial cell adhesion molecule (EpCAM) by immunofluorescence

415 and immunoblotting and showed that EpCAM protein levels were substantially  
416 elevated in the ALDH3A1 expressing MCF-7 cells. EpCAM together with CD49F  
417 have been studied extensively for their functional roles and usage as potential CSCs  
418 markers (Cariati et al., 2008, Deng et al., 2015, Guo et al., 2012, Guo et al., 2014,  
419 Wang et al., 2011). EpCAM is suggested to provide a sustained proliferative signal to  
420 cancer-initiating and normal stem cells where it is overexpressed. Cancer cells appear  
421 to benefit from the constitutive expression of EpCAM for proliferation, self-renewal,  
422 and anchorage-independent growth and invasiveness (Munz et al., 2009). On the other  
423 hand, CD49F (also known as  $\alpha 6$  integrin) plays a significant role in cell adhesion. Its  
424 high expression in mammary epithelial cells is associated with progenitor and stem  
425 cell activity (Goel et al., 2014). This integrin acts as an adhesion receptor for the  
426 mammary epithelial cells mediating developmental signals and assisting cells in  
427 sensing growth factor and hormonal signals (Kaimala et al., 2012). It appears to play a  
428 major role in sustaining the survival of mammary carcinoma cells especially under  
429 stress conditions such as those existing in the tumor microenvironment (Chung and  
430 Mercurio, 2004).

431 In conclusion, MCF-7 cells over-expressing ALDH3A1 demonstrated low  
432 proliferation rates associated with a resistant phenotype against various sources of cell  
433 stress including exposure to various chemotherapeutics, gamma radiation, and H<sub>2</sub>O<sub>2</sub>  
434 insult. Furthermore, they displayed differential expression of proteins involved in cell  
435 cycle regulation and increased expression of the cell adhesion molecules CD49f and  
436 EpCAM. Although the precise mechanisms remain unclear, our findings provide  
437 considerable implications on defining the biological significance of ALDH3A1 in cell  
438 homeostasis.

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450 **FIGURE LEGENDS**

451 **Figure 1: Characterization of the MCF-7 isogenic cell line pair.** **A.** Western blot  
452 analysis of ALDH3A1 expression: Lane 1: recombinant ALDH3A1 (1 µg), lanes 2-7:  
453 30 µg cell extracts, 2; parental MCF-7, 3-4; mock-transfected ALDH3A1, 6-7:  
454 ALDH3A1/MCF-7 transfected clones. **B.** ALDH3A1 gene expression levels detected  
455 by real-time PCR in mock/ and ALDH3A1/MCF7. **C.** Enzymatic activity of  
456 ALDH3A1 in mock/MCF-7 and ALDH3A1/MCF-7 cells. Results are expressed as  
457 means of a minimum of three independent experiments ± SE. **D.** Colony formation  
458 efficiency of mock and ALDH3A1/MCF-7 cells. Cells (600) were seeded in 10 cm  
459 culture dishes and were allowed to form colonies for two weeks in a humidified  
460 incubator that were subsequently counted following crystal violet staining by using  
461 Image J. Results are expressed as mean ±S.E of three independent experiments. \*\*\*  
462 p<0.001.

463 **Figure 2: Effect of various chemotherapeutic agents on cell viability of mock/  
464 and ALDH3A1/MCF-7 cells.**

465 Viability curves of mock/ and ALDH3A1/MCF-7 cells along with the calculated half  
466 maximal effective concentrations (EC<sub>50</sub> values) of **(A)** 4-  
467 hydroxyperoxycyclophosphamide, **(B)** doxorubicin, **(C)** etoposide, and **(D)** 5-  
468 fluorouracil are represented. Viability curves of the ALDH3A1/MCF-7 cells are  
469 shifted to the right indicating increased tolerance of the cells to the cytotoxic effect of  
470 the agents used. Results are shown as mean ± S.E. At least three independent  
471 experiments were performed for each condition. \* p<0.05, \*\* p<0.01, \*\*\* p<0.001

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473 **Figure 3: Effect of H<sub>2</sub>O<sub>2</sub> and gamma radiation on the viability of mock/ and**  
474 **ALDH3A1/MCF-7 cells.** The viability curves of mock/ and ALDH3A1/MCF7 cells  
475 along with the half maximal effective concentrations (EC<sub>50</sub> values) of (A) H<sub>2</sub>O<sub>2</sub> and  
476 (B) gamma radiation are presented. ALDH3A1 expression is associated with  
477 increased tolerance to the cytotoxic effects of H<sub>2</sub>O<sub>2</sub> and gamma radiation. Results are  
478 presented as mean ± SE of three independent experiments. \* p<0.05, \*\* p<0.01, \*\*\*  
479 p<0.001

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481 **Figure 4: Expression of ALDH3A1 alters gene profiling in ALDH3A1/MCF-7**  
482 **cells.** Effect of ALDH3A1 on the gene expression of (A) cycle cell regulatory  
483 proteins (B) Membrane ABC transporters (C) Cancer stem cell markers. The  
484 comparative quantification ΔΔCt method was utilized for analyzing the fold change of  
485 gene expression. Beta-actin gene was used as endogenous control for the  
486 normalization of samples. **D:** Immunofluorescence for EpCAM (green) in  
487 ALDH3A1/MCF-7 (i) and mock/MCF-7 (ii) cells. No secondary antibody for  
488 EpCAM was used in the negative control (iii), whereas nuclei were stained with DAPI  
489 (4',6-diamino-2-phenylindole) (blue). **E.** Western blotting analysis for EpCAM in  
490 mock and ALDH3A1/MCF-7 cells. Results are shown as mean ± S.E. At least three  
491 independent experiments were performed for each condition. \* p<0.05, \*\* p<0.01,  
492 \*\*\* p<0.001

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**Table 1.** Primers used for the real-time PCR comparative quantification

<b>GENE</b>	<b>FORWARD PRIMER</b>	<b>REVERSE PRIMER</b>
β-actin	GCGCGGCTACAGCTTCA	CTTAATGTCACGCACGATTTCC
ALDH3A1	CAGCGGCATGGGATCCTA	GCGGCGGTGAGAGAAAGTC
Cyclin A	ACGGGTTGCACCCCTTAAG	CCAAGGAGGAACGGTGACA
Cyclin B1	GGCCTCTACCTTTGCACTTCCT	GCTCGACATCAACCTCTCCAA
Cyclin B2	AAGCTTTTTCTGATGCCTTGCT	AGGGTTCTCCAATCTTCGTTAT
Cyclin D	AGACCTTCGTTGCCTCTTGTG	ATGGAGGGCGGATTGGAA
Cyclin E	GGCCTTGTATCATTCTCGTCAT	CGCACCACTGATACCCTGAA
p53	TCTGTCCCTTCCCAGAAAACC	CAAGAAGCCCAGACGGAAAC
p21	GGCGGGCTGCATCCA	AGTGGTGTCTCGGTGACAAAGTC
ABCA2	AGATGGACAAGATGATCGAG	GCTTGTACTTCAGGATGAGG
ABCB1	GAGGAAGACATGACCAGGTA	CTGTTCGATTATAGCATGAA
ABCG2	ACCTGAAGGCATTTACTGAA	TCTTTCCTTGCAGCTAAGAC
CXCR4	GGCCGACCTCCTCTTTGTC	TTGCCACGGCATCAACTG
Notch1	GCACCTCAGCCTGCACAGT	CTGTGTTGCTGGAGCATCTTCT

SOX2	TGCGAGCGCTGCACAT	TCATGAGCGTCTTGGTTTTCC
SOX4	CTGCGCCTCAAGCACATG	TTCTTCCTGGGCCGGTACT
Oct4	CGACCATCTGCCGCTTTG	GCCGCAGCTTACACATGTTCT
JAG1	TGAAGTAGAAGAGGACGACATGGA	CGGCTGCTTGGCAAACC
EpCAM	TTATGATCCTGACTGCGATGAGA	GGTGCCGTTGCACTGCTT
CD49F	GATCCCGGCCTGTGATTAATATT	CTGGCGGAGGTCAATTCTGT

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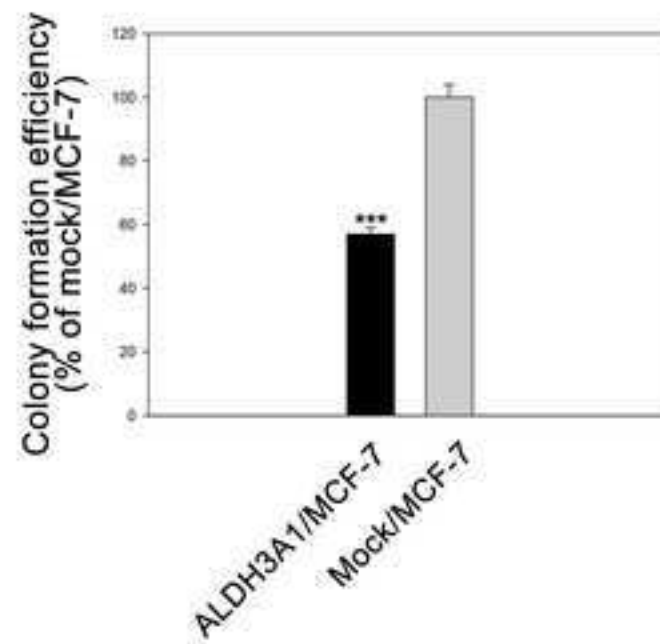
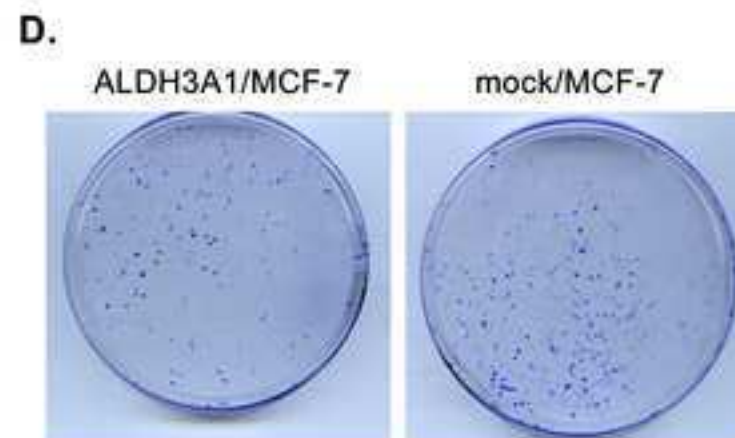
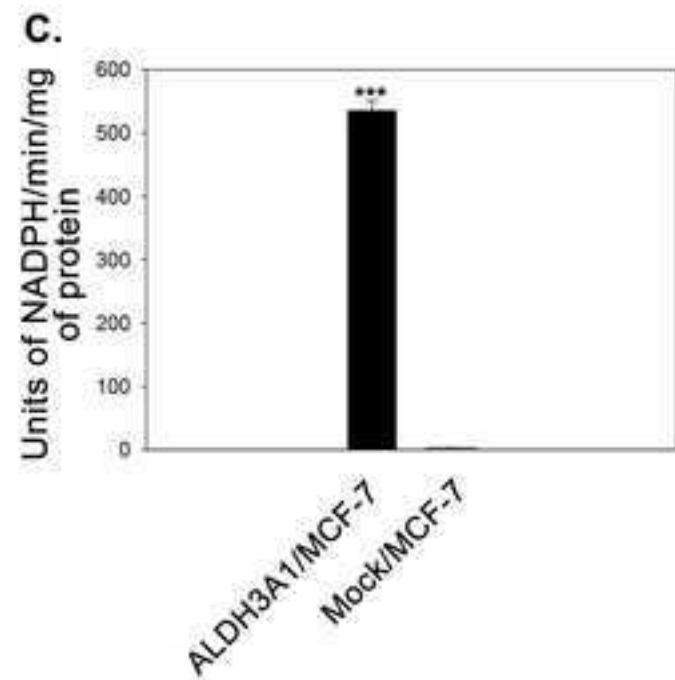
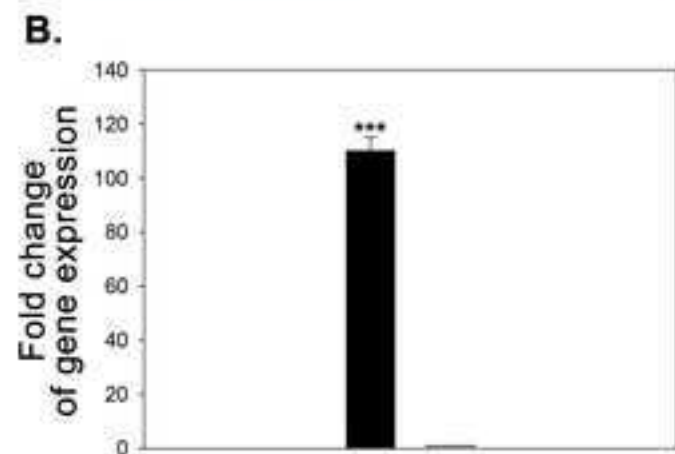
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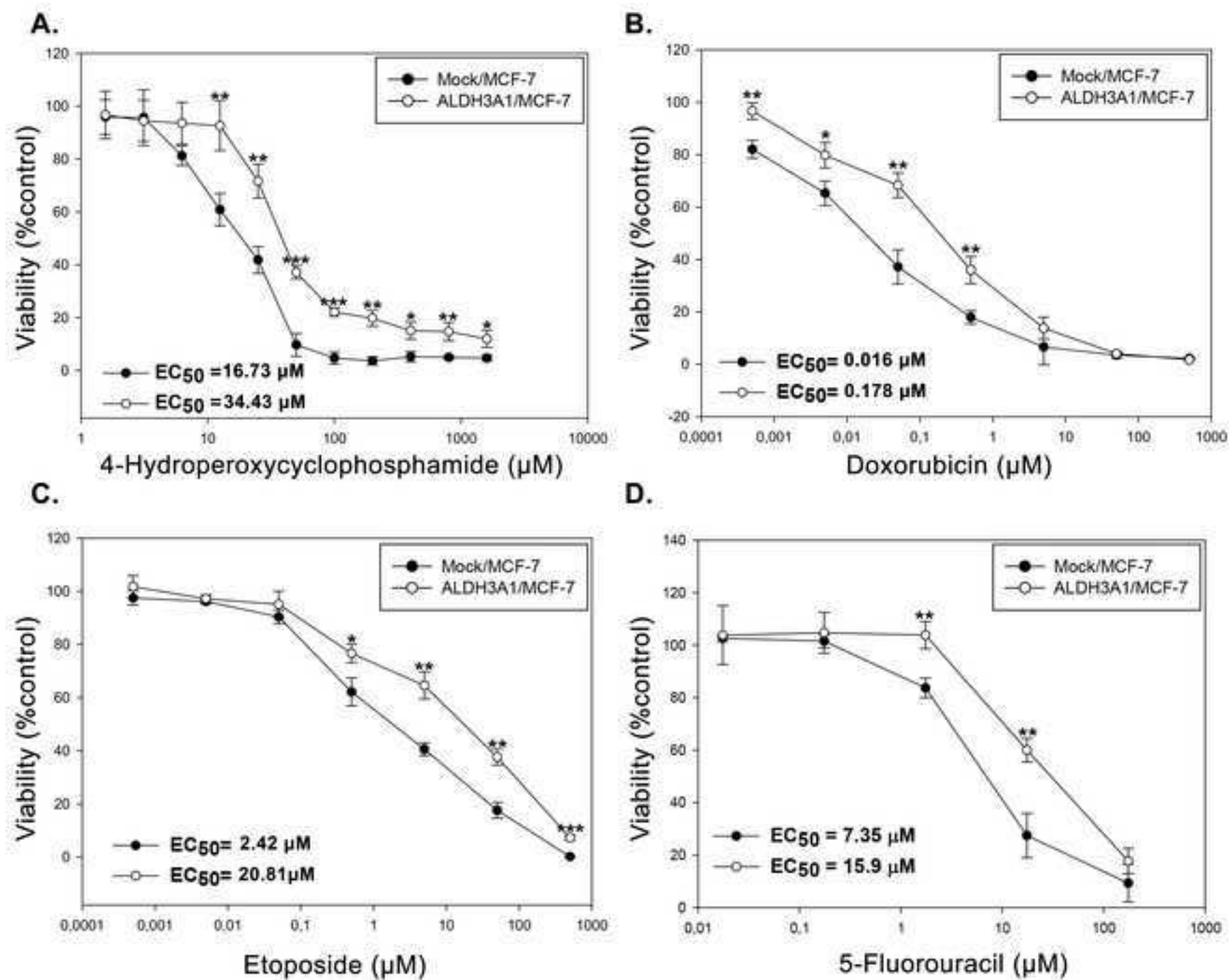
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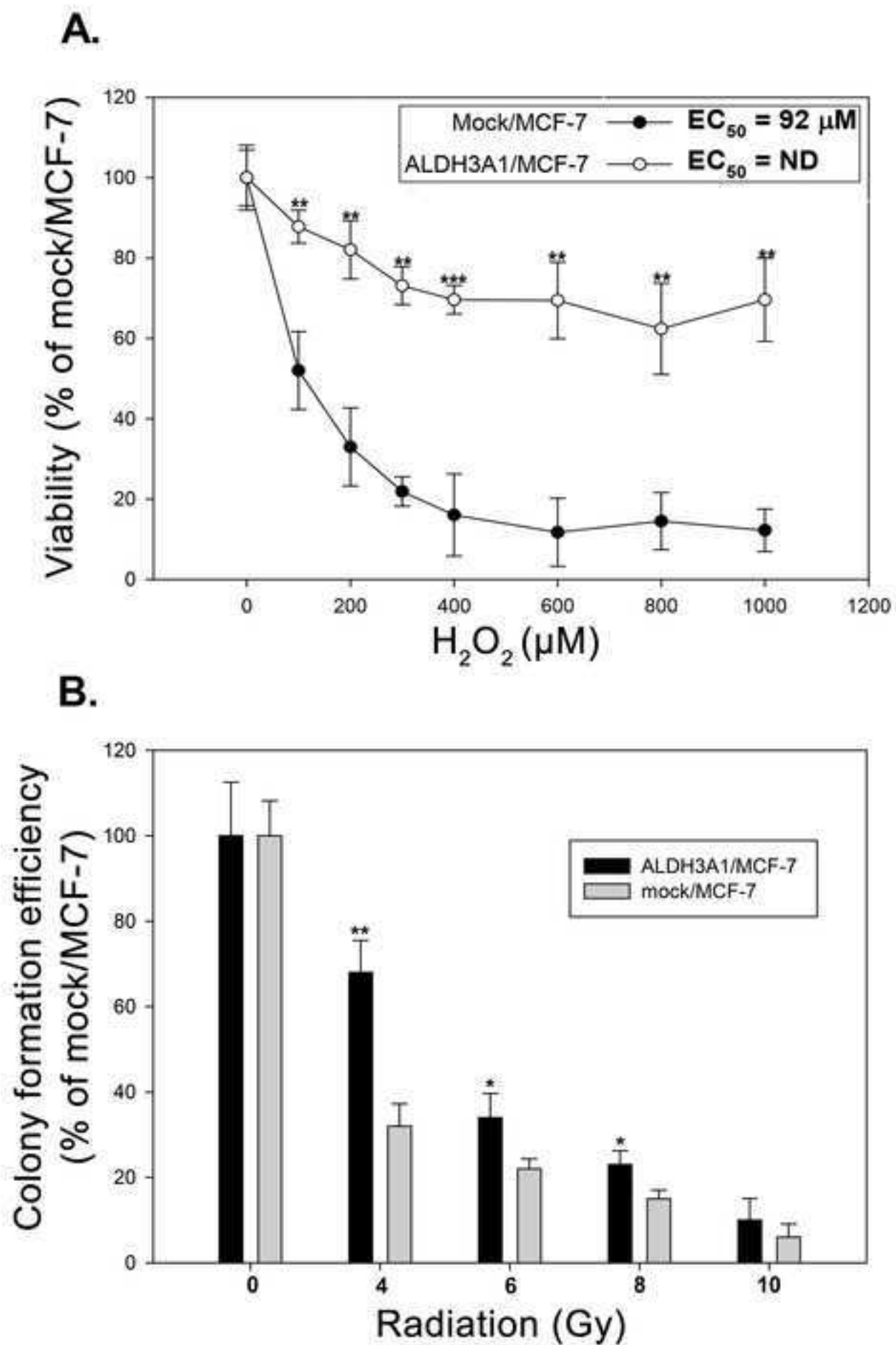
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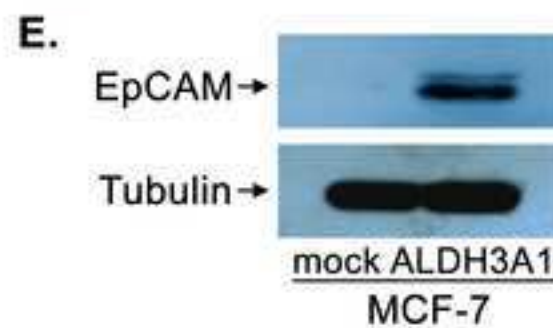
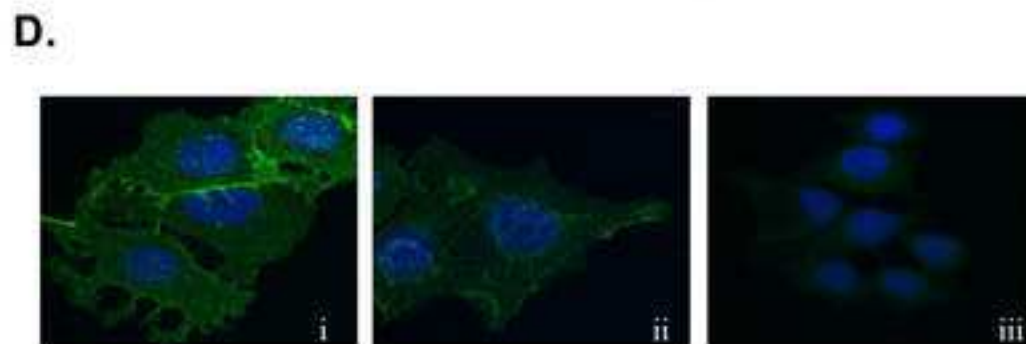
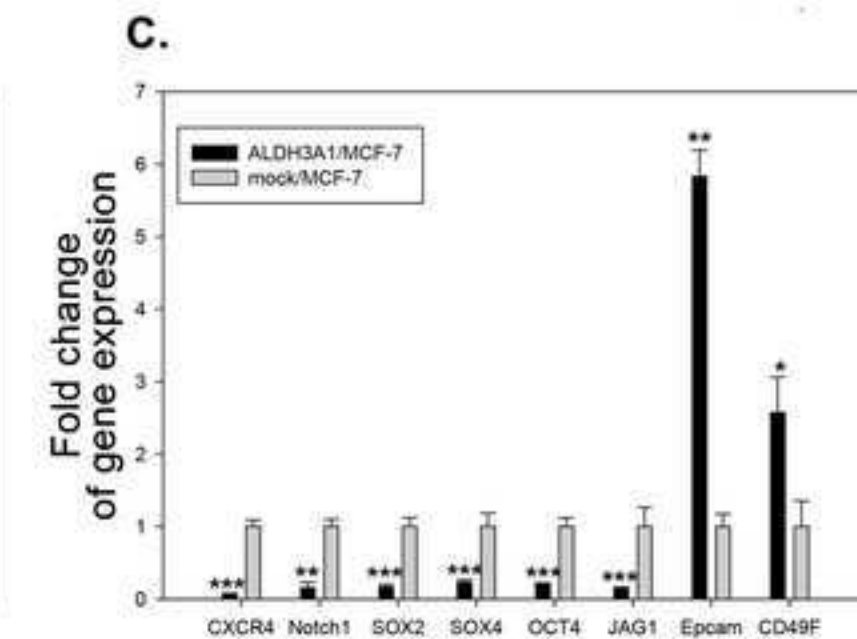
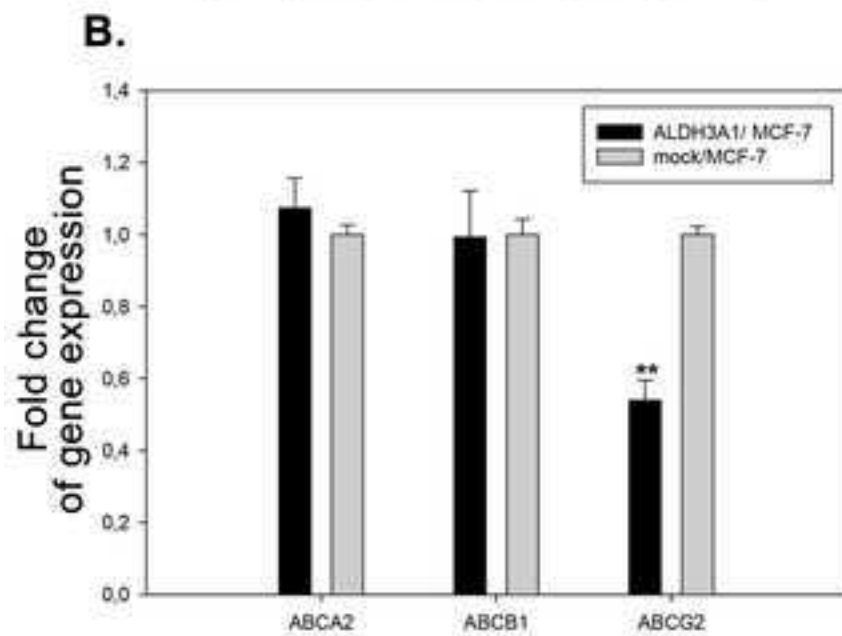
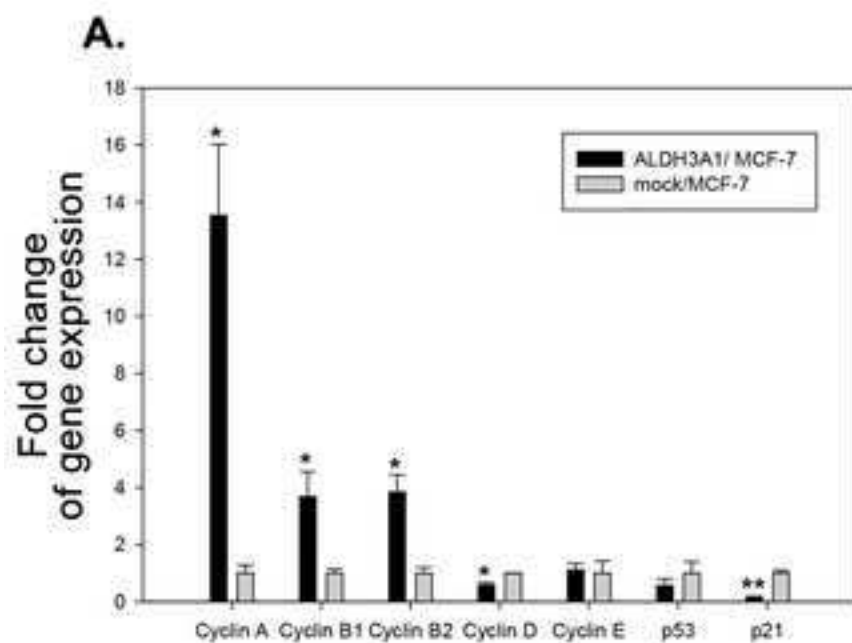








Figure(s)  
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**Table 1.** Primers used for the real-time PCR comparative quantification

<b>GENE</b>	<b>FORWARD PRIMER</b>	<b>REVERSE PRIMER</b>
<i><math>\beta</math>-actin</i>	GCGCGGCTACAGCTTCA	CTTAATGTCACGCACGATTTCC
<i>ALDH3A1</i>	CAGCGGCATGGGATCCTA	GCGGCGGTGAGAGAAAGTC
<i>Cyclin A</i>	ACGGGTTGCACCCCTTAAG	CCAAGGAGGAACGGTGACA
<i>Cyclin B1</i>	GGCCTCTACCTTTGCACTTCT	GCTCGACATCAACCTCTCCAA
<i>Cyclin B2</i>	AAGCTTTTTCTGATGCCTTGCT	AGGGTTCTCCAATCTTCGTTAT
<i>Cyclin D</i>	AGACCTTCGTTGCCTCTTG	ATGGAGGGCGGATTGGAA
<i>Cyclin E</i>	GGCCTTGTATCATTCTCGTCAT	CGCACCCTGATACCCTGAA
<i>p53</i>	TCTGTCCCTTCCCAGAAAACC	CAAGAAGCCCAGACGGAAAC
<i>p21</i>	GGCGGGCTGCATCCA	AGTGGTGTCTCGGTGACAAAGTC
<i>ABCA2</i>	AGATGGACAAGATGATCGAG	GCTTGTACTTCAGGATGAGG
<i>ABCB1</i>	GAGGAAGACATGACCAGGTA	CTGTGCATTATAGCATGAA
<i>ABCG2</i>	ACCTGAAGGCATTTACTGAA	TCTTCCTTGCAGCTAAGAC
<i>CXCR4</i>	GGCCGACCTCCTTTTGTC	TTGCCACGGCATCAACTG
<i>Notch1</i>	GCACCTCAGCCTGCACAGT	CTGTGTTGCTGGAGCATCTTCT

<i>SOX2</i>	TGCGAGCGCTGCACAT	TCATGAGCGTCTTGGTTTTCC
<i>SOX4</i>	CTGCGCCTCAAGCACATG	TTCTTCCTGGGCCGGTACT
<i>Oct4</i>	CGACCATCTGCCGCTTTG	GCCGCAGCTTACACATGTTCT
<i>JAG1</i>	TGAAGTAGAAGAGGACGACATGGA	CGGCTGCTTGGCAAACC
<i>EpCAM</i>	TTATGATCCTGACTGCGATGAGA	GGTGCCGTTGCACTGCTT
<i>CD49F</i>	GATCCCGCCTGTGATTAATATT	CTGGCGGAGGTCAATTCTGT